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PTO/SB/03 (4/98)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SUN-P4182
First Inventor or Applicant Identifier	Judith E. Schwabe
Title	Populating Binary Compatible Resource
Express Mail Label No.	EL575422686US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification (Total Pages 98) (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the invention- Brief Summary of the invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (Identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets 34)	
4. Oath or Declaration (Total Pages 4) <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.83(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">1. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.83(d)(2) and 1.33(b).	

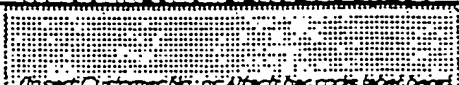
NOTE FOR ITEMS 1 & 2: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEE, A SMALL ENTITY STATEMENT IS REQUIRED ON C.F.R. § 1.271, EXCEPT IN ONE CASE: IF A PRIOR APPLICATION IS RELIED UPON ON C.F.R. § 1.271.

ACCOMPANYING APPLICATION PARTS

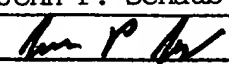
7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
9. <input type="checkbox"/> English Translation Document (if applicable)	
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
11. <input type="checkbox"/> Preliminary Amendment	
12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
13. <input type="checkbox"/> Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/CS-12)
14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
15. <input type="checkbox"/> Other:	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application information: Examiner _____ Group / Art Unit _____
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		or <input type="checkbox"/> Correspondence address below
(Report Customer No. or Attach bar code label, none)		

Name	David B. Ritchie D'Alessandro & Ritchie				
Address	P. O. Box 640640				
City	San Jose	State	CA	Zip Code	95164-0640
Country	USA	Telephone	408-441-1100	Fax	408-441-8400

Name (Print/Type)	John P. Schaub	Registration No. (Attorney/Agent)	42,125
Signature		Date	9/14/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
Judith E. Schwabe)
)
Serial No.: [Not yet assigned] This application)
is a non-provisional patent application of)
provisional application Serial No.: 60/200,480)
filed April 28, 2000.)
)
Filed: September 14, 2000)
)
For: POPULATING BINARY)
COMPATIBLE RESOURCE-)
CONSTRAINED DEVICES WITH)
CONTENT VERIFIED USING API)
DEFINITIONS)




CERTIFICATE OF MAILING

"Express Mail" mailing label no: EL575422686US

Date of Deposit: September 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231


Diane Morse

TRANSMITTAL LETTER

Honorable Assistant Commissioner
for Patents
Box Assignment
Washington, D.C. 20231

Sir:

Attached hereto for filing are the following:

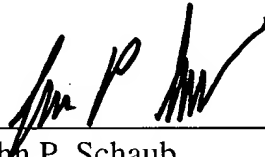
1. Executed assignment by the inventor to assignee, Sun Microsystems, Inc.
and recordation cover sheet; and

2. Check in the amount of \$40.00 for recordation of the assignment -
Fee Code 581.

In the event any additional fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No. 04-0025. A duplicate copy of this page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE

Dated: September 14, 2000



John P. Schaub
Reg. No. 42,125

D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
(408) 441-1100

09/14/00
jc784 U.S. PTO

SUN-P4182

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Judith E. Schwabe

Serial No.: [Not yet assigned] This application is a non-provisional patent application of provisional application Serial No.: 60/200,480 filed April 28, 2000.

Filed: September 14, 2000

For: POPULATING BINARY
COMPATIBLE RESOURCE-
CONSTRAINED DEVICES WITH
CONTENT VERIFIED USING API
DEFINITIONS

Art Unit: Not yet assigned

Examiner: Not yet assigned

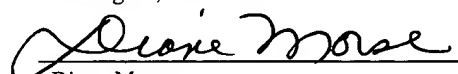
jc784 U.S. PTO
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CERTIFICATE OF MAILING

"Express Mail" mailing label no: EL575422686US
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Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231


Diane Morse

TRANSMITTAL LETTER

Honorable Assistant Commissioner
for Patents
Box Patent Application
Washington, D.C. 20231

Dear Sir:

Enclosed for filing please find the patent application for an invention entitled, "POPULATING BINARY COMPATIBLE RESOURCE-CONSTRAINED DEVICES WITH CONTENT VERIFIED USING API DEFINITIONS", filed on behalf of Sun Microsystems, Inc., assignee from inventor Judith E. Schwabe, including Utility Patent

Application Transmittal, 71 pages of specification, 26 pages of claims, 34 sheets of drawing figures, and 1 page of Abstract. Also enclosed herewith are the Declaration & Power of Attorney. The attorney's Docket Number is SUN-P4182.

Kindly address all communications regarding this application to:

David B. Ritchie
D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
Telephone (408) 441-1100

A check in the amount of \$2,286.00 is enclosed for the filing fee for a large entity, calculated as follows:

Basic Filing Fee (Large entity):	\$ 690.00
5 Additional Independent Claims:	\$ 390.00
67 <u>Additional Dependent Claims:</u>	<u>\$1,206.00</u>
Total Filing Fee:	\$2,286.00

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 04-0025.

A duplicate of this page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE



John P. Schaub
Reg. No. 42,125

Dated: September 14, 2000

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